

**INDUSTRIAL & PRUDENTIAL INVESTMENT CO. LTD**

CIN No.: L65990WB1913PLC218486

**Ref: INP/19-20/BSE/DEC/40(10)**  
**Date: 5<sup>th</sup> May, 2020**

**To**  
**MS. BHUVANA SRIRAM ,**  
**Relationship Manager,**  
**Corporate Relationship Department,**  
**BSE Limited,**  
**Rotunda Building,**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street,**  
**MUMBAI - 400 023.**

**Ref: COMPANY CODE: 501298**

**Sub: Submission of PCS certificate for transfer/ transmission/ transposition for half year ended 31<sup>st</sup> March 2020, as per regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015.**

Dear Sir,

We are enclosing herewith the required report for the half year ended 31<sup>st</sup> March 2020.

Kindly take the same on record.

Thanking You,

Yours faithfully,

**For INDUSTRIAL & PRUDENTIAL INVESTMENT CO. LTD.,**

*Ayan Datta*

**Ayan Datta**  
**Company Secretary**

**Encl: As above**

# **MAYUR MEHTA**

B.Com. (Hons.), A.C.S., A.C.A., M.A. (Hist.)  
**PRACTISING COMPANY SECRETARY**

## **Share Transfer Certification- Reg. 40(9) – SEBI(LODR), 2015**

### **FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

Due to COVID 19 and the proactive steps taken by the Govt of India in declaring a 21 day lockdown effective from March 24, 2020 and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid March 2020, I have not been able to physically visit the Registered Office of INDUSTRIAL AND PRUDENTIAL INVESTMENT CO. LTD. (hereinafter referred to as “the Company”), and the office of LINK INTIME INDIA PVT. LIMITED, the Company’s Registrar and Transfer Agent (hereinafter referred to as “the RTA”) to examine the Share Transfer Deeds, Memorandum of Transfers, Register of Members, Beneficiary details furnished by the Depositories and other records/documents for the period 01.10.2019 to 31.03.2020 with regard to transfer of equity shares maintained by the RTA for issuing certificate as per Regulation 40(9) of the Listing (Obligations and Disclosure Requirements) Regulations, 2015.

Based on the digital information provided by the RTA and a Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 dated 23<sup>rd</sup> April, 2020 given by the RTA (a copy annexed), in my opinion and according to the best of my knowledge and information, records and explanations given to me by the Company and its RTA, I hereby certify for the half year ended 31<sup>st</sup> March, 2020 that all transfers received during the period 01.10.2019 to 31.03.2020 have been completed within the stipulated time and share certificates relating to the

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**Office: 901, Rushabh, Jakaria Bunder Cross Road, Sewree (West) Mumbai 400015**  
**Res: 401, 90, Parijat, Dr. M. B. Raut Road (South), Shivaji Park, Mumbai 400015**  
**Tel. Office 24163356/24135466 Cell: 9821735704 Tel Residence 24454177**  
**Email: [mayur.cs@mtnl.net.in](mailto:mayur.cs@mtnl.net.in) Alternative Email: [mayur2753@gmail.com](mailto:mayur2753@gmail.com)**

# MAYUR MEHTA

B.Com. (Hons.), A.C.S., A.C.A., M.A. (Hist.)  
PRACTISING COMPANY SECRETARY

share transfer deeds received during the said period, have been delivered within the stipulated period except those rejected on technical grounds and subject to lockdown condition

MEHTA  
MAYUR  
AMRITLAL

Digitally signed by  
MEHTA MAYUR  
AMRITLAL  
Date: 2020.04.29  
16:10:24 +05'30'

Mayur Mehta

Practicing Company Secretary  
Membership No. A 3132  
C.P. Number 9952

Place: Mumbai

Date: 29<sup>th</sup> April, 2020

**UDIN A003132B000185919**

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Office: 901, Rushabh, Jakaria Bunder Cross Road, Sewree (West) Mumbai 400015  
Res: 401, 90, Parijat, Dr. M. B. Raut Road (South), Shivaji Park, Mumbai 400015  
Tel. Office 24163356/24135466 Cell: 9821735704 Tel Residence 24454177  
Email: [mayur.cs@mtnl.net.in](mailto:mayur.cs@mtnl.net.in) Alternative Email: [mayur2753@gmail.com](mailto:mayur2753@gmail.com)

INDUSTRIAL & PRUDENTIAL INVESTMENT CO. LTD

CIN No.: L65990WB1913PLC218486

Ref: INP/19-20/BSE/31.03/74(5)

Date: 24<sup>th</sup> April 2020

To  
MS. BHUVANA SRIRAM,  
Relationship Manager,  
Corporate Relationship Department,  
BSE Limited,  
Rotunda Building,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
MUMBAI - 400 001

Ref: COMPANY CODE: 501298

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018**

We are enclosing herewith the certificate dated 23<sup>rd</sup> April, 2020 issued by our Registrar and Share Transfer Agent, M/S Link Intime India Private Limited, confirming compliance under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 during the quarter ended 31<sup>st</sup> March, 2020.

We request you to take the same on record.

Thanking You,

Yours faithfully,

For INDUSTRIAL & PRUDENTIAL INVESTMENT CO. LTD.,

*Ayan Datta*

Ayan Datta  
Company Secretary

Encl: As above.

To,  
Company Secretary  
**Industrial And Prudential Investment Company Limited**  
Paharpur House,  
8/1/B Diamond Harbour Road,  
Kolkata , West Bengal - 700027

23<sup>rd</sup> April, 2020

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

ISIN NO : INE620D01011

BSE SCRIP CODE : 501298

NSE SCRIPT CODE :

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> March, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

We request you to kindly take note of the above in your records subject to the note given below.

Note :Due to COVID 19 and the proactive steps taken by Govt of India in declaring a 21 days lockdown effective from March 24, 2020 and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid of March 2020. certain Demat / remat transactions inwarded by our office from the period first week of March, 2020 which was to be processed and released within 15 days / 30 days as the case may be, has not been processed/released since our office was functional with bare minimum staff reporting to office from mid-March 2020 onwards. Further, both the Depositories have issued circulars that from March 23, 2020 to April 30, 2020 the processing of demat requests will be excluded for computing the existing timelines specified and further 15 days time period will be allowed to clear backlogs after April 30, 2020. Also, please note during this challenging times certain transaction processed might be pending for release; certain DRN generated by DPs electronically but physical documents might not have been despatched by the DP; or might have been despatched by the DP but not received by us/not inwarded which has arisen due to the extraordinary circumstances as explained in detail.

Thanking You,

Yours faithfully,  
For Link Intime India Pvt. Ltd

Sd/-  
Ashok Shetty  
Vice President – Corporate Registry